UTILITY PATENT APPLICATION **TRANSMITTAL**

PTO/SB/05 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 22727-106 First Inventor Pallab Banerjee et al. Hyperbranched Dendron And Methods of Synthesis And Use Thereof

| (Only for new nonprovisional ap | plications under 37 CFR 1.53(b)) | ļ | 1 | | | | | | |
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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | Mail Stop Patent Application Commissioner for Patents ADDRESS TO: P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. X Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages 37] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 13] 5. Oath or Declaration [Total Sheets 3] Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) | | | | | | | |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | Certained Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. X Other: Application Cover Sheet (1pg.) | | | | | | |
| 6. Application Data Sheet. See 37 CFR 1.76 | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | | |
| X Customer Number: | or Number: 021125 | | | OR | | Correspondence address below | | | |
| Nutter, McClennen & Fish, LLP | | | | | | | | | |
| World Trade Center West 155 Seaport Boulevard | | | | | | | | | |
| City Boston State | | ate | MA | | | Zip Code 02210-2604 | | | |
| Country USA Telephone | | (617) 439-2000 | | | F | Fax (617) 310-9000 | | | |
| Name (Print/Type) | / Regis | Registration No. (Attorney/ | | |) | 38,403 | | | |
| Signature | | / | | Date | Ma | 1arch 22, 2004 | | | |